

UNDER SECTION 41A, CROWN MINERALS ACT 1991

Notification of change of control

This form is to be used for a notification of a change of control^{1,2} for a Tier 2 permit only. If the change of control relates to the permit operator for a Tier 1 permit, use APP-06A.

- New Zealand Petroleum & Minerals recommends that applicants familiarise themselves with the Crown Minerals Act 1991 (the 'Act'), the relevant regulations, and the relevant Minerals or Petroleum Programme, and seek professional advice where appropriate before notifying a change of control. See www.nzpam.govt.nz/our-industry/rules-regulations for more details.
- The personal information you must include in this form is needed to process your application under the Act. You have the right under the Privacy Act 2020 and/or the Official Information Act 1982 to access information held about you by New Zealand Petroleum & Minerals and request that this information be corrected if necessary.
- The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's **Transparency Statement**.
- If the space on any part of this form is insufficient to include all relevant details, place them at the beginning of the supporting information document in the order they appear in this form, state 'see supporting information' in the appropriate space, and attach the document to the application.

- ¹ If the permit participant is a corporate body and undergoes a change of control this must be notified to the Minister. If a corporate body has provided a guarantee for a permit participant, and that guarantor undergoes a change of control, this must also be notified to the Minister under section 41A.
- ² A change of control is defined in section 41AA of the Act. In summary, a change of control is when a person or person together with one or more specified persons, obtain the power (whether directly or indirectly) to exercise, or control the exercise of, 50% or more of the voting rights in the corporate body. This will include, but is not limited to, when 50% or more of the share of the company is sold. Change(s) of control must be notified to the Minister within 3 months of the change of control.

SECTION 1: CONTACTS

1.1 Application contact details:

Please set out the details of the Application contact. The Application contact is the sole point of contact for an application.

An application contact:

- receives all communications about the progress of an application, including any application fee invoice.
- can view all applications for which they are the Application contact (where they have an New Zealand Petroleum & Minerals online permitting system account)

Legal name:	_____
Organisation:	_____
New Zealand Business Number (NZBN):	_____

SECTION 4: SUPPORTING INFORMATION

4.1 Documents required:

Please attach the following:

- A signed APP 10 Application authority form for each permit participant that is not you as an individual.
- Other supporting information as outlined in the relevant Regulations, see the application guides at the following link: www.nzpam.govt.nz/permits/minerals/apply-manage/app-06/

SECTION 5: APPLICATION FEE

5.1 Fee payment:^{3,4}

Please indicate how the application fee is to be or has been paid.

- I have paid by direct credit and have attached as evidence a copy of the successful internet banking transaction.
- I have attached a cheque.

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application⁵.

Signature:	_____
Legal name:	_____
Position of signatory:	_____
Organisation:	_____
NZBN:	_____
Date:	_____

³ Your application must be accompanied by the appropriate fee prescribed in the relevant fees regulations or evidence that the appropriate fee has been paid. Payments by direct credit are to be made to New Zealand Petroleum & Minerals' bank account held with Westpac Bank, account number 03 0049 0001311 02 and a proposed permit participant's name should be used as the reference for the payment.

⁴ Where a permit participant undergoes a change of control, a fee is payable for each permit the permit participant has an interest in.

⁵ An application to change the specified due date of a condition must be accepted for processing before that due date. If the application is not decided before that obligation falls due, you will not breach the condition if the application is then granted. However, if your application is declined you will be considered to have breached the condition from the original due date.

This completed form is to be forwarded to nzpam@mbie.govt.nz or mailed to the NZP&M postal address below.

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nzpam@mbie.govt.nz

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NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand's Crown Mineral Estate. Our aim is to maximise the gains to New Zealand's economy from development of these resources, a key component to the government's Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.