

Application for a Tier 3 minerals mining permit

This form is used to apply for a new Tier 3 minerals mining permit under section 23A of the **Crown Minerals Act 1991** (the Act).

- › New Zealand Petroleum & Minerals (NZP&M) recommends that applicants familiarise themselves with the Act, the relevant regulations, and the relevant Minerals Programme, and seek professional advice where appropriate before making an application for a permit. See <https://www.nzpam.govt.nz/our-industry/rules-regulations/> for more details.
- › Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the **Official Information Act 1982**. If this is the case, we may consult with you before the material is considered for public release.
- › The personal information you must include in this form is needed to process your application under the Act. You have the right under the **Privacy Act 2020** and/or the **Official Information Act 1982** to access information held about you by NZP& and request that this information be corrected if necessary.
- › The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business, Innovation and Employment's **Transparency Statement**.
- › If the available space on any part of this form is insufficient to include all the relevant details, (including the details of additional proposed permit participants requested in section 2.2 if more than one), record the details on a separate sheet/s of paper, state "see supporting information" in the appropriate space in this form, note it as supporting information in section 4.1, and attach the supplementary information to this application in the order the information is requested in the form.

SECTION 1: CONTACT DETAILS

1.1 Application contact¹ details

Please provide the details of the application contact. This must be an individual person.

Legal name:	
Organisation:	
NZBN (if applicable):	
Email:	
Primary phone:	
Secondary phone:	
Postal address:	
	Postcode:

¹ The Application contact is the sole point of contact for an application. See the appendix for more detail.

1.2 Permit administrator² details

Please provide the Permit administrator details. This must be an individual person.

Will the Permit administrator be different from the application contact?

Yes ☐

If yes, please complete section 1.2.

No ☐

If no, please continue to section 2.

Legal name:	
Organisation:	
NZBN (if applicable):	
Email:	
Primary phone:	
Secondary phone:	
Postal address:	
	Postcode:

² The Permit administrator is the ongoing primary contact on matters to do with the permit. See the appendix for more detail.

SECTION 2: PERMIT OPERATOR AND PARTICIPANTS

2.1 Permit operator details³

Please record the details of the proposed permit operator.

Name of permit operator:			
<i>Permits can only be granted to individual persons and legal entities. See the appendix for more information</i>			
Type of permit operator:			
	☐ Individual	☐ Other (please state)	
	☐ NZ registered company		
	☐ Overseas company		
New Zealand Business Number (NZBN) if applicable:			
Primary phone:			
Secondary phone:			
Email:			
Postal address:			
		Postcode:	
Physical address:			
		Postcode:	
Address for service:			
<i>This can be the physical address within New Zealand of the permit participant(s), or their email address: please specify which is to be used:</i>	Use email address	Yes ☐	OR Use physical address Yes ☐

³ The permit operator is responsible, on behalf of the permit holder, for the day-to-day management of activities under the permit.

2.2 Permit interests

List all proposed Permit Participants and their percentage interest in the permit.

Ensure that Permit Participant 1 is the permit operator specified in section 2.1

If there is only one proposed Permit Participant in the permit, this will be the sole permit holder.

If there is more than one proposed Permit Participant, please record the details of each additional one using as many separate sheets of paper as required, record this as supplementary information in table 4.2 and attach the sheet/s to this application form

	Permit participant(s)	NZBN (if applicable)	Interest
1	Permit Operator		%
2			%
3			%
4			%
5			%
6			%

SECTION 3: PERMIT DETAILS

The requirements for a Tier 3 mining permit are listed below:

- › Can only be for gold
- › Can only be for mining in a bed of a river or on a beach (or both)
- › Must be a continuous, unbroken permit area
- › Cannot be larger than 50 hectares (0.5 square kilometres)
- › The permit area must be appropriate:
 - Permit boundaries in rivers should be riverbank to riverbank
 - Permits boundaries on beaches should not extend landward beyond an area which can be effectively worked (not more than 50 metres).

Additionally, Tier 3 has the work programme specified by **Section 2BA of the Crown Minerals Act 1991** and it is as follows:

- › The permit holder will mine for gold
- › The permit holder may only use the following equipment:
 - unpowered hand tools
 - riffle boxes and associated equipment
 - powered equipment not exceeding a combined total of 10 horsepower (or the equivalent of 10 horsepower) at any one time
 - other similar equipment that is consistent with small-scale non-commercial gold mining
 - any other equipment permitted by regulations.

 The permit holder may **not** use any equipment prohibited by regulations

You will need to comply with this work programme, and it cannot be changed.

3.1 Acknowledgement of Tier 3 permit requirements

I confirm I have read and understood the requirements of a Tier 3 permit as specified above.

Yes ☐

3.2 Proposed permit details

Please provide the following details

Please tick your proposed mining methods or equipment: <i>Tick multiple if you will be using different methods</i> <i>All powered equipment must not exceed a combined total of 10 horsepower</i>	☐ Unpowered hand tools (e.g. shovels, picks, etc) ☐ Riffle Boxes and associated equipment ☐ Small motorised pump ☐ One or more suction dredges ☐ Other (please specify):
Proposed area: <i>Maximum of 50 continuous hectares</i> <i>Be sure your proposed permit area is appropriate for a Tier 3 permit</i>	hectares I confirm my proposed permit area is appropriate for a Tier 3 permit. ☐ Yes
Location	Region: ☐ Northland ☐ Auckland ☐ Waikato ☐ Bay of Plenty ☐ Gisborne ☐ Taranaki ☐ Manawatu-Whanganui ☐ Hawke's Bay ☐ Wellington ☐ Marlborough ☐ Nelson ☐ Tasman ☐ West Coast ☐ Canterbury ☐ Otago ☐ Southland ☐ Other (please state)
Beach or river permit:	Beach ☐ River ☐ Both ☐
Proposed operation name	
How many days per year do you estimate to do mining if granted this permit?	days per year
How much gold do you estimate to recover each year if granted this permit?	troy ounces (oz t) per year
Proposed duration: <i>Maximum of 10 years</i>	Years: Months:

SECTION 4: SUPPORTING INFORMATION

4.1 Documents required:

Please attach the following to your application:

- ☐ A map of the permit area
- ☐ A supporting digital file of your permit area (e.g. Shapefile, Map Info, Google KML/KMZ or esri GDB format)
 - › Please see our [mapping guidance here](#) and our [Mapping Standards here](#)

 Your application will be returned to you if your map is not acceptable

- ☐ A statement outlining the basis for your belief that gold is present in the permit area
 - › This could include any previous mining permits over the area, or scientific research that has revealed gold in the area
- ☐ A statement of your technical capability (together with supporting evidence)
 - › This could include any previous mining experience you have, or whether you own the equipment to do mining
 - › Your technical capability should relate to the mining methods you ticked in section 3.2
- ☐ A statement of your financial capability (together with supporting evidence)
 - › This could include whether you have the cash available to pay annual fees, and ongoing costs such as fuel
 - › Evidence could include bank statements
- ☐ Any supplementary information provided by the applicant where there was not enough space to include all relevant details in the relevant section of this application form.
- ☐ Anything else you consider relevant to support your application

SECTION 5: APPLICATION FEE

5.1 Payment information

This application must be accompanied by evidence of payment of the appropriate fee prescribed in the **Crown Minerals (Minerals Fees) Regulations (2016)**.

The application fee amount for a Tier 3 application can be found here: <https://www.nzpam.govt.nz/permits/minerals/fees-royalties>.

Please pay the application fee by internet banking and attach a copy of the successful transaction, as evidence of the payment.

Account details:

- › Account Holder/Payee name: Ministry of Business, Innovation & Employment
- › Account number: 03 0049 0001311 02
- › Reference for payment: A proposed permit participant's name.
- › The Ministry of Business, Innovation and Employment's bank account is held with Westpac bank, NZ Government Branch, 318 Lambton Quay, Wellington, New Zealand
- › SWIFT Code is WPACNZ2W.

The applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas:

- › One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand.
- › As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends

5.2 Evidence of payment

Please provide the following payment details to appear on the Ministry of Business, Innovation & Employment's bank statement and attach a copy of the successful internet banking transaction as evidence that the application fee has been paid.

Payee name:	
Payment reference:	
Payment date:	
Evidence of direct credit payment:	A copy of the successful internet banking transaction statement is attached. ☐

SECTION 6A: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application.

Signature:	
Legal name:	
Position of signatory:	
Organisation:	
NZBN:	
Date:	

Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.

SECTION 6B: AUTHORITY TO ACT REGARDING APPLICATION

The proposed permit participants will need to sign the below section if the person signing this form is an employee or agent acting on behalf of the participants.

By signing below, I authorise the individual named above in section 6A to make this application on behalf of the proposed permit participant(s) and recognise that where that individual is also the Permit administrator they will, by default, assume the geotechnical contact and fee administrator role.

Permit Participant 1 /Permit Operator: <i>Please ensure that Permit Participant 1 is also the Permit Operator listed at section 2.1</i>	
Signature:	
Date:	
Permit Participant 2 (if applicable):	
Signature:	
Date:	

Permit Participant 3 (if applicable):	
Signature:	
Date:	
Permit Participant 4 (if applicable):	
Signature:	
Date:	
Permit Participant 5 (if applicable):	
Signature:	
Date:	

APPENDIX

Application contact

The Application contact is the sole point of contact for an application. An application contact:

- › receives all communications about the progress of an application, including any application fee invoice
- › can view all applications for which they are the Application contact (where they have an New Zealand Petroleum & Minerals online permitting system account).

Permit administrator

The permit administrator is the ongoing primary contact on matters to do with the permit and by default assumes the Geotechnical contact role and fee administrator for a permit, when the permit is first granted.

A permit administrator:

- › receives all communications regarding the permit not directed to the Fee administrator, Royalty administrator, ERL administrator, Geotechnical contact, or Audit contact. This includes all communication around upcoming obligations;
- › can view all permits for which they are the Permit administrator (and where they have an New Zealand Petroleum & Minerals online permitting system account);
- › by default assumes the Geotechnical contact role for a permit, when the permit is first granted, however this can be assigned at any time by email; and
- › by default assumes the Fee administrator role for a permit, when the permit is first granted, however this can be assigned at any time using the APP-11 Application to change role form.

Geotechnical Contact

The Geotechnical contact is the permit contact on geotechnical matters, and may be contacted on geotechnical matters.

Fee administrator

The fee administrator is the permit contact on matters to do with annual fees, and receives all communications, including invoices, regarding annual fees on the permit.

Permit holder

A Permit holder is the person who is the sole permit participant, or all of the permit participants, as the case may be.

Permit participants and permit operator

- › A permit participant means a person who holds a participating interest in a permit
- › Under section 91 of the Act, the name and contact details of the permit participants of each permit must be on the public register. Contact details for the purposes of this section are considered to be the address of the permit participant(s), which may be an email address, where the permit participant may be contacted. Therefore, this information will be publicly available.
- › Permits can only be granted to **legal entities**. Trusts that are not incorporated must provide the full name of every trustee and partnerships the full name of all partners. This information may be supplied in a separate list included in the supporting information to the application.
- › Please note that overseas companies carrying on business in New Zealand are required to register with the New Zealand Companies Office under section 334 of the Companies Act 1993. For further information on registration and the obligations of overseas companies carrying on business in New Zealand please refer to www.companies.govt.nz
- › The permit operator is responsible, on behalf of the permit holder, for the day-to-day management of activities under the permit
- › The permit operator must be a permit participant. If a permit has only a sole permit participant then they will also be the permit operator.

This completed form, along with the supporting documentation, should be emailed or posted to New Zealand Petroleum & Minerals at the addresses below.

Address: PO Box 1473, Wellington 6140, New Zealand

Email: nzpam@mbie.govt.nz

Website: www.nzpam.govt.nz

NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand's Crown Mineral Estate. Our aim is to maximise the gains to New Zealand's economy from development of these resources, a key component to the government's Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.